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**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. API-02-14-US)

In The Application of:	)
Barban, et al.	)
Serial No.: 10/735,064	) Examiner: Stacy Brown Cher
Filed: 12 DEC 2003	) Art Unit: 1648
For: PRODUCTION OF ALVAC ON AVIAN	)
EMBRYONIC STEM CELLS	)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

U.S. Express Mail No. EB080505036US

Dear Sir:

Applicants respectfully submit this Information Disclosure Statement (IDS), PTO-1449 and copies of the reference cited therein. As this IDS is being filed under 37 CFR 1.97(c), Applicants hereby authorize the Commissioner to deduct the fee required under 37 CFR 1.17(p) (\$180.00) from Deposit Account No. 50-0244. This IDS is in compliance with the duty of candor as set forth in 37 C.F.R. § 1.56. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing of the present application.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. §102 or §103.

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### **Foreign Patent Documents**

1. EP 0338807B1

## **Other Documents:**

- 1. Azuma, et al. Functional expression of B7/BB1 on Activated T lymphocytes. J. Exp. Med. 177: 845-850 (1993)
- 2. Bill Snow. Bay Area Reporter, National Institutes of Health: ALVAC Prime and Boost, HIV Vaccine Handbook, Approaches, 1998, pp. 201-208.
- 3. Pain, et al. Long-term in vitro culture and characterization of avian embryonic stem cells with multiple morphogenetic potentialities. Development, Vol. 122, pp. 2339-2348 (1996)
- 4. Petitte, et al. Avian pluripotent stem cells. Mechanisms of Development, 121: 1159-1168 (2004)
- 5. Horiuchi, et al. Chicken Leukemia Factor Maintains Chicken Embryonic Stem Cells in the Undifferentiated State. J. Biol. Chem. 23(4): 24514-24520 (2004)

Respectfully Submitted,

Date: 02/01/2007

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PTO/SB/08A (09-06) Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE

<sup>US-</sup> 6998266

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Sheet 1

OF PADENT

STATEMENT BY APPLICANT (Use as many sheets as necessary)

Complete if Known					
Application Number	10/735,064				
Filing Date	12/12/2003				
First Named Inventor	V. Barban				
Art Unit	1648				
Examiner Name	Stacy Brown Chen				
Attorney Docket Number	API-02-14-US				

				r documents	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5162215	11-10-1992	Bosselman, et al.	Entire document
		<sup>US-</sup> 5340740	08-23-1994	Petitte, et al.	Entire document
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		<sup>US-</sup> 6114168	09-05-2000	Samarut, J. and Pain, B.	Entire document
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	<b>-</b> 6		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	" !		
		EP 338807 B1	04-18-1989	Immuno Aktiengesellschaft	Entire document			

Samarut, J. and Pain, B.

02-14-2006

Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Cabolita	10.101.10111.1440/1.10			Application Number	10/735,064	
INF	ORMATION	DIS	CLOSURE	Filing Date	12/12/2003	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	V. Barban	
(Use as many sheets as necessary)				Art Unit	1648	
(osc as many should as necessary)			ecessary)	Examiner Name	Stacy Brown Chen	
Sheet	2	of	2	Attorney Docket Number	API-02-14-US	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Azuma, et al. Functional expression of B7/BB1 on Activated T lymphocytes. J. Exp. Med. 177: 845-850 (1993)	
		Bill Snow. Bay Area Reporter, National Institutes of Health: ALVAC Prime and Boost, HIV Vaccine Handbook, Approaches, 1998, pp. 201-208.	
-		Pain, et al. Long-term in vitro culture and characterization of avian embryonic stem cells with multiple morphogenetic potentialities. Development, Vol. 122, pp. 2339-234	
		Petitte, et al. Avian pluripotent stem cells. Mechanisms of Development, 121: 1159-1168 (2004)	
		Horiuchi, et al. Chicken Leukemia Factor Maintains Chicken Embryonic Stem Cells in the Undifferentiated State. J. Biol. Chem. 23(4): 24514-24520 (2004)	
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